

Request / Application for Transfer / Shifting of Trading Code

Date: _____

FROM:

To
Econo Broking Pvt Ltd
KYC Department
105, EVA-1, Atabhai Chowk,
Opp. Joggers Park, Bhavnagar - 364002

Subject: - Request / Application for client transfer from one SB / AP / BRANCH of Econo to another SB / AP / BRANCH of Econo Broking Pvt. Ltd.

Dear Sir,

My name is _____ and my trading code with Econo Broking Pvt. Ltd. Is _____

Please note that, i herewith request / apply to shift / transfer my trading code with Econo Broking Pvt. Ltd. from _____ Branch / AP / SB / RM to _____ Branch / AP / SB / RM.

You are kindly requested to take note of the same on record and do the needful at the earliest in the matter.

Thanking You,

Yours faithfully,

Name of Client –

Date: _____

From:

(Name of Branch / SB /AP /RM Name, Code and Address)

To

Econo Broking Pvt Ltd

KYC Department

105, EVA-1, Atabhai Chowk,

Opp Joggers Park, Bhavnagar - 364002

Sub: **Consent to inter-SB / AP/ Branch / RM / Employee transfer of Client with outstanding dues:**

Dear Sir,

I/We, am/are aware that Client Mr./Ms/M/s _____ with Client Code _____ is presently mapped as Client of Branch / SB / Ap/ RM _____ with Branch / SB / AP / RM Tag _____. I/we, am/are also aware that the said Client is willing to have his/her/its account shifted from under the said Branch / SB /AP /RM to become my Client. The said Client has filed necessary application with you for transferring the account and the Branch / SB /AP /RM _____ has given his/her/its "no objection" to the transfer.

In view of this, I/we hereby record my/our consent to have the said client mapped under me/us as my/our Client. Client's accounts in all the segments where the Client is registered for trading are acceptable to me/us as running accounts with current balances drawn at the close of the trading day immediately preceding the day on which the Client is transferred to me/us. I/we accept liability for the outstanding dues, if any, in the accounts of the Client as at the date of transfer and agree to remain bound to you therefore to the same extent I/we am/are bound in terms of the MoU/Supplementary Agreement I/we have executed with you, as if it were executed, *inter alia* to apply to this Client amongst others.

Yours faithfully,

(_____)

[Name & Signature of the Branch/SB/AP/RM/Employee with Code]

NOC Letter for Client Transfer

Date: _____

FROM:

(Name and address of SB / AP from where client to be shifted)

To

Econo Broking Pvt Ltd
KYC Department
105, EVA-1, Atabhai Chowk,
Opp. Joggers Park, Bhavnagar – 364002

Subject :- NOC for client transfer from one SB / AP / BRANCH of Econo to another SB / AP / BRANCH of Econo for Trading code

Dear Sir,

This is with reference to request received from Client Mr. /Ms. / M/s. _____
_____, client Code _____ to transfer his code to other
Econo branch / AP / Sub broker. Please note I/we do not have any objection for transfer of this client to
other Econo Branch / Sub Broker.

Client wants to shift from _____ to _____ branch/Sub Broker and his current Ledger
balance is Rs. _____.

Thanking You,

Yours faithfully,

(Name and Signature of the
Branch head/Sub Broker)