

**Annexure – B “Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites”**

Data for month ending **June 2022**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2021	0	4	4	0
2	May-2021	0	7	5	2
3	June-2021	2	13	13	2
4	July-2021	2	7	8	1
5	August-2021	1	5	5	1
6	September-2021	1	0	1	0
7	October-2021	0	2	2	0
8	November-2021	0	13	7	6
9	December-2021	6	3	8	1
10	January-2022	1	2	2	1
11	February-2022	1	4	5	0
12	March-2022	0	2	2	0
13	April-2022	0	2	2	0
14	May-2022	0	0	0	0
15	June-2022	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	1	59	60	0
2	2018-19	0	27	26	1
3	2019-20	1	13	14	0
4	2020-21	0	50	49	1
5	2021-22	1	62	63	0
6	2022-23	0	2	2	0
	<b>Grand Total</b>	1	213	214	0